

526 U.S. PTO
09/203086
12/01/98

12/01/98

THE UNIVERSITY OF CHICAGO

- Application elements and other attached papers:

- CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

BRENDA J. HUGHES
(Type or print name of person mailing paper)

Brenda J Hughes
(Signature of person mailing paper)

7. Preliminary Amendment:

- a. ☐ A Preliminary Amendment is attached.
- b. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- c. ☐ Please amend the specification by inserting before the first line the sentence:
 "This is a
 ☐ continuation
 ☐ divisional
 of copending application(s)
 Serial number ☐ / ☐ filed on ☐."
- d. ☐ A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

8. Small entity status:

- a. ☐ A small entity statement is attached.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer desired.

9. Fee Calculation:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c))	14 -20	0	X 18.00	0.00
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	3 -3	0	X 78.00	0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))			260.00	0.00
			BASIC FEE (37 C.F.R. § 1.16(a))	760.00
Total of above Calculations =				760.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				
Assignment Recordal Fee			40.00	40.00
TOTAL =				800.00

10. ☐ A check in the amount of \$_____ is enclosed.
11. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. 21-0456:
- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.

09203086 120198

12. Maintenance of Copendency of Prior Application

☐ A request for extension of time and the appropriate fee have been filed in the pending **prior** application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

13. ☒ An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:

a. ☒ Form PTO/SB/08 (1 sheet(s))

b. ☒ Copies of references cited

14. ☐ Certified copy of priority document(s)

15. ☒ Return Receipt Postcard

16. ☐ Other: _____

17. ☒ An Assignment of the invention to US WEST, INC.

a. ☒ is attached.

b. ☐ was recorded on _____ at Reel _____, Frame _____.

18. The power of attorney in the prior application is to:

Name of Attorney of Record

Reg. No.

☐ The power appears in the original papers in the prior application.

☐ The power does not appear in the original papers, but was filed on _____.

☐ A new power has been executed and is attached.

19. Correspondence Address: Please address all future communications to:

Peter J. Kinsella, Esq.,

US West, Inc.

1801 California Street, Suite 5100

Denver, CO 80202

Telephone: (303) 672-2737 Fax: (303) 308-9456

Respectfully submitted,

BRUCE A. PHILLIPS ET AL

Ralph E. Smith

Name: RALPH E. SMITH

Registration No.: 35,474

Date December 1, 1998

☒ Attorney or agent of record

☐ Filed under Rule 34(a)